



# TRANSACTION FORM UNIT TRUST FUNDS

## A. INVESTOR DETAILS

INDIVIDUAL                       JOINT                       COMPANY

First name(s)		Surname:	
Mobile No.		Email Address:	

## B. TRANSACTION TO BE PROCESSED

Kindly tick all applicable boxes:

TOP UP                       REDEMPTION                       SWITCH

## C. TRANSACTION TO BE PROCESSED

Kindly tick and fill in where all applicable

FUND (ACCOUNT NAME)	TOP UP AMOUNT	REDEMPTION AMOUNT	SWITCH FROM	SWITCH TO	SWITCH AMOUNT
Nabo KES Money Market Fund Account	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	
Nabo KES Fixed Income Fund Account	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	
Nabo Africa Money Market Fund Account	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	
Nabo Africa Fixed Income Fund Account	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	
Nabo Africa Balanced Fund Account	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	
Nabo Africa Equity Fund Account	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	

## D. SOURCE OF FUNDS

Savings                       Salary                       Property sale                       Loan  
 Investment Maturity                       Lottery / Betting                       Gift / Inheritance

Any other (Please Specify)

## E. REASONS FOR REDEMPTION

Kindly tick all applicable boxes

Projects                       Personal                       Emergency  
 Performance                       Service/Experience                       Fees

Any other (Please Specify)



F. DISCLAIMER

- 1) Transactions will be executed at the ruling price of the dealing day that they are received.
- 2) Transactions will be effected within 5 working days from the date received.
- 3) No Transactions can be carried out during the first 14 days following the investments.
- 4) The Fund Manager may deduct from the price so payable for each Unit redeemed an amount not exceeding the equivalent of any necessary charges which would be payable about the sale of that part of the underlying securities represented by such units.
- 5) The amount payable as proceeds of redemption may be arrived at after deduction of a charge that may not exceed 3.0% for the benefit of the Promoter of the Fund and the charge will be expressed as a percentage of the proceeds of redemption.
- 6) Nabo Capital does not make any 3rd party payments

7) All payments will be electronically transferred into the bank account of the investor only. Payments will only be made to the account for which we have been provided details and proof.

8) A client may transact on any Business Day during normal working hours from 9 a.m. up to 5.00 p.m.

9) I/We hereby declare and warrant that any Anti Money Laundering (AML) documentation and information provided is correct and that the source of funds which the Fund Manager is dealing does not arise from any money laundering or illicit activities.

10) I confirm that I/We have no affiliation with any AML/CFT blacklisted individual or entity.

11) I will inform Nabo Capital of any affiliation with a Politically Exposed Person (PEP)

*Kenyan Time (+3 GMT). Kenyan public holidays to be observed*

Name .....

Signature: ..... Date: ...../...../.....

Signature of Co- Applicant (if applicable)

Name .....

Signature: ..... Date: ...../...../.....

F. FOR OFFICIAL USE ONLY

I hereby confirm that I have satisfied myself as to the identity of the unit holder to the best of my ability. I have informed the unit holder about the risks, charges as well as the terms and conditions of this investment.

Name of Intermediary:.....

Compliance Manager / Operations Manager:

Agent Number: .....

Name: .....

Signature: .....

Signature: .....

Date: ...../...../.....

Date: ...../...../.....

Comments:

Applicable Class: